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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Andrea C. Hughs-Baird et al.  
Appl. No.: 09/686,308  
Filed: October 11, 2000  
Title: GAMING DEVICE HAVING INTERACTING SYMBOLS  
Art Unit: 2876  
Examiner: Larry D. Taylor  
Docket No.: 0112300-144

Honorable Commissioner for Patents  
Washington, DC 20231

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with the provisions of 37 C.F.R. 1.56, 37 C.F.R. 1.97, and 37 C.F.R. 1.98, Applicants request that a citation and examination of the references cited below, and on the attached PTO-1449 form, copies of which are enclosed, be made during the course of examination of the above-identified application for United States patent.

U.S. PATENT DOCUMENTS

<u>Document No.</u>	<u>Date</u>	<u>Inventor</u>
5,769,716	June 23, 1998	Saffari et al.
5,833,536	November 10, 1998	Davids et al.
6,319,124 B1	November 20, 2001	Baerlocher et al.

FOREIGN PATENT DOCUMENTS

<u>Document No.</u>	<u>Date</u>	<u>Country</u>
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OTHER DOCUMENTS

Description of Traveling Symbols written by IGT, available in September 1999.

South Park Advertisement written by IGT, available in September 1999.

Ghoulsh Gamble Article written by Strictly Slots, published prior to September 2000.

Description of Expanding Symbol written by IGT, available in September 1999.

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Mystery Mine Advertisement written by Konami Australia Pty. Ltd, published prior to January 1999.

Cash Chameleon Advertisement written by Aristocrat, published prior to January 2000.

Description of Animating Symbol Features in Gaming Machine written by IGT, published prior to January 2000.

Description of Gaming Machine with Animating Symbols written by IGT, published prior to January 2000.

Applicants look forward to early and favorable consideration of this matter.

Respectfully submitted,

BELL, BOYD & LLOYD LLC

BY Adam H. Masia  
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**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT****(Under 37 CFR 1.97(b) or 1.97(c))**Docket No.  
01112300-144In Re Application Of: **Andrea C. Hughs-Baird et al.**Serial No.  
09/686,308Filing Date  
October 11, 2002Examiner  
Larry D. TaylorGroup Art Unit  
2876Title: **GAMING DEVICE HAVING INTERACTING SYMBOLS**

Address to:

Assistant Commissioner for Patents  
Washington, D.C. 20231**37 CFR 1.97(b)**

1. ☐ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

**37 CFR 1.97(c)**

2. ☒ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

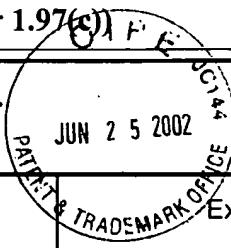
☐ the statement specified in 37 CFR 1.97(e);**OR**☒ the fee set forth in 37 CFR 1.17(p).

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**

(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.  
01112300-144

In Re Application: Andrea C. Hughs-Baird et al.



Serial No.

09/686,308

Filing Date

October 11, 2002

Examiner

Larry D. Taylor

Group Art Unit

2876

**GAMING DEVICE HAVING INTERACTING SYMBOLS****Payment of Fee**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☒ A check in the amount of \$180.00 is attached.
- ☒ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. 02-1818 as described below. A duplicate copy of this sheet is enclosed.
- ☐ Charge the amount of
- ☒ Credit any overpayment.
- ☒ Charge any additional fee required.

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(Date)

Signature

Typed or Printed Name of Person Signing Certificate

**Certificate of Mailing by First Class Mail**

I certify that this document and fee is being deposited with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Signature of Person Mailing Correspondence

Typed or Printed Name of Person Mailing Certificate

\*This certificate may only be used if paying by deposit account.

Signature

Dated: June 25, 2002

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